

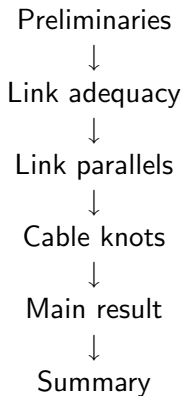
On adequacy and the crossing number of satellite knots



Adrián Jiménez Pascual
THE UNIVERSITY OF TOKYO

Tokyo Woman's Christian University

23th December, 2017





Definition (*Satellite knot*)

P : knot in ST . (*Pattern*)

C : knot in \mathbb{S}^3 with framing 0. (*Companion*)

$e : ST \hookrightarrow N(C)$: faithful embedding.

Then eP is called a *satellite knot* (of C). From here on $eP =: \text{Sat}(P, C)$.



Definition (*Satellite knot*)

P : knot in ST . (*Pattern*)

C : knot in \mathbb{S}^3 with framing 0. (*Companion*)

$e : ST \hookrightarrow N(C)$: faithful embedding.

Then eP is called a *satellite knot* (of C). From here on $eP =: \text{Sat}(P, C)$.





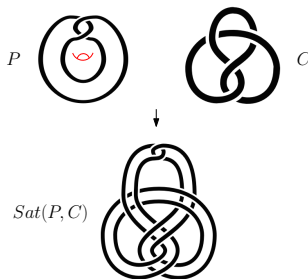
Definition (*Satellite knot*)

P : knot in ST . (*Pattern*)

C : knot in \mathbb{S}^3 with framing 0. (*Companion*)

$e : ST \hookrightarrow N(C)$: faithful embedding.

Then eP is called a *satellite knot* (of C). From here on $eP =: \text{Sat}(P, C)$.



Problem

What is the minimal number of crossings with which $Sat(P, C)$ can be drawn?

Problem

What is the minimal number of crossings with which $Sat(P, C)$ can be drawn?

Known facts:

- $cr(Sat(P, C)) \geq cr(C)/10^{13}$. (*Lackenby, 2011*)

Problem

What is the minimal number of crossings with which $Sat(P, C)$ can be drawn?

Known facts:

- $cr(Sat(P, C)) \geq cr(C)/10^{13}$. (*Lackenby, 2011*)

Problem

Is the crossing number of a satellite knot bigger than that of its companion?

Problem

What is the minimal number of crossings with which $Sat(P, C)$ can be drawn?

Known facts:

- $cr(Sat(P, C)) \geq cr(C)/10^{13}$. (*Lackenby, 2011*)

Problem 1.67 (*Kirby, 1995*)

Is the crossing number of a satellite knot bigger than that of its companion?

Problem

What is the minimal number of crossings with which $Sat(P, C)$ can be drawn?

Known facts:

- $cr(Sat(P, C)) \geq cr(C)/10^{13}$. (Lackenby, 2011)

Problem 1.67 (Kirby, 1995)

Is the crossing number of a satellite knot bigger than that of its companion?

Remarks: *"Surely the answer is yes, so the problem indicates the difficulties of proving statements about the crossing number."*

Problem

What is the minimal number of crossings with which $Sat(P, C)$ can be drawn?

Known facts:

- $cr(Sat(P, C)) \geq cr(C)/10^{13}$. (Lackenby, 2011)

Problem 1.67 (Kirby, 1995)

Is the crossing number of a satellite knot bigger than that of its companion?

Remarks: *"Surely the answer is yes, so the problem indicates the difficulties of proving statements about the crossing number."*



GOAL

Problem 1.65 (*Kirby, 1995*)

Is the crossing number $cr(K)$ of a knot K additive with respect to connected sum, that is, is the equality $cr(K_1 \# K_2) = cr(K_1) + cr(K_2)$ true?

Problem 1.65 (*Kirby, 1995*)

Is the crossing number $cr(K)$ of a knot K additive with respect to connected sum, that is, is the equality $cr(K_1 \# K_2) = cr(K_1) + cr(K_2)$ true?

Known facts:

- *Murasugi* proved it is true for alternating knots. (Also *Kauffman* and *Thistlethwaite*)

Problem 1.65 (*Kirby, 1995*)

Is the crossing number $cr(K)$ of a knot K additive with respect to connected sum, that is, is the equality $cr(K_1 \# K_2) = cr(K_1) + cr(K_2)$ true?

Known facts:

- *Murasugi* proved it is true for **adequate** knots. (Also *Kauffman* and *Thistlethwaite*)

Problem 1.65 (*Kirby, 1995*)

Is the crossing number $cr(K)$ of a knot K additive with respect to connected sum, that is, is the equality $cr(K_1 \# K_2) = cr(K_1) + cr(K_2)$ true?

Known facts:

- *Murasugi* proved it is true for **adequate** knots. (Also *Kauffman* and *Thistlethwaite*)
- $cr(K_1 \# \dots \# K_n) \geq \frac{cr(K_1) + \dots + cr(K_n)}{152}$. (*Lackenby, 2011*)



Definition

A *state* of a link is a function

$$s : \{c_1, c_2, \dots, c_n\} \rightarrow \{-1, 1\}.$$



Definition

A *state* of a link is a function

$$s : \{c_1, c_2, \dots, c_n\} \rightarrow \{-1, 1\}.$$





Definition

A *state* of a link is a function

$$s : \{c_1, c_2, \dots, c_n\} \rightarrow \{-1, 1\}.$$



The *Kauffman bracket* of a link with diagram D can be written as:

$$\langle D \rangle = \sum_s \left(A^{\sum_{i=1}^n s(i)} (-A^{-2} - A^2)^{|sD|-1} \right).$$

- s_+ is the state for which $\sum_{i=1}^n s_+(i) = n$
- s_- is the state for which $\sum_{i=1}^n s_-(i) = -n$

- s_+ is the state for which $\sum_{i=1}^n s_+(i) = n$
- s_- is the state for which $\sum_{i=1}^n s_-(i) = -n$

Definition

D is *plus-adequate* if $|s_+ D| > |s D|$ for all s with $\sum_{i=1}^n s(i) = n - 2$.

D is *minus-adequate* if $|s_- D| > |s D|$ for all s with $\sum_{i=1}^n s(i) = -n + 2$.

D is *adequate* if *plus-adequate* and *minus-adequate*.

Lemma 1 (*Lickorish*)

Let D be a link diagram with n crossings.

- 1 $M_{\langle D \rangle} \leq n + 2|s_+ D| - 2$, with equality if D is plus-adequate,
- 2 $m_{\langle D \rangle} \geq -n - 2|s_- D| + 2$, with equality if D is minus-adequate.

Lemma 1 (*Lickorish*)

Let D be a link diagram with n crossings.

- ① $M_{\langle D \rangle} \leq n + 2|s_+ D| - 2$, with equality if D is plus-adequate,
- ② $m_{\langle D \rangle} \geq -n - 2|s_- D| + 2$, with equality if D is minus-adequate.

Corollary 1 (*Lickorish*)

If D is adequate:

$$B(\langle D \rangle) = M_{\langle D \rangle} - m_{\langle D \rangle} = 2n + 2|s_+ D| + 2|s_- D| - 4.$$

Lemma 1 (*Lickorish*)

Let D be a link diagram with n crossings.

- 1 $M_{\langle D \rangle} \leq n + 2|s_+ D| - 2$, with equality if D is plus-adequate,
- 2 $m_{\langle D \rangle} \geq -n - 2|s_- D| + 2$, with equality if D is minus-adequate.

Corollary 1 (*Lickorish*)

If D is adequate:

$$B(\langle D \rangle) = M_{\langle D \rangle} - m_{\langle D \rangle} = 2n + 2|s_+ D| + 2|s_- D| - 4.$$

Lemma 2 (*Lickorish*)

Let D be a connected link diagram with n crossings.

$$|s_+ D| + |s_- D| \leq n + 2,$$

with equality if D alternating.

Lemma 3

Let D be a diagram of an oriented link L .

$$B(J(L)) = \frac{B(\langle D \rangle)}{4}.$$

Lemma 3

Let D be a diagram of an oriented link L .

$$B(J(L)) = \frac{B(\langle D \rangle)}{4}.$$

Proof.

$$J(L) = (-A^{-3})^{wr(D)} \langle D \rangle \Big|_{A^2=t^{-1/2}}.$$

Lemma 3

Let D be a diagram of an oriented link L .

$$B(J(L)) = \frac{B(\langle D \rangle)}{4}.$$

Proof.

$$J(L) = (-A^{-3})^{wr(D)} \langle D \rangle \Big|_{A^2=t^{-1/2}}.$$

Theorem 1 (*Lickorish*)

Let D be a connected, n -crossing diagram of an oriented link L .

- ① $B(J(L)) \leq n$,
- ② if D is alternating and reduced, $B(J(L)) = n$.



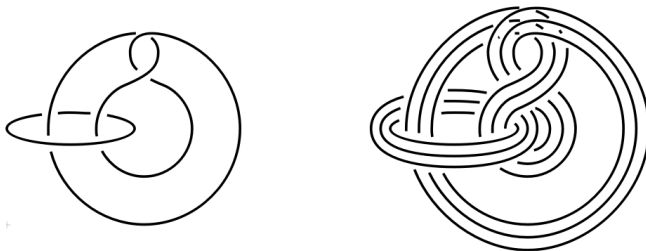
Definition

Let D be a diagram of an oriented link L . The r -parallel of D is the same diagram where each link component has been replaced by r parallel copies of it, all preserving their “over” and “under” strands as in the original diagram.



Definition

Let D be a diagram of an oriented link L . The r -parallel of D is the same diagram where each link component has been replaced by r parallel copies of it, all preserving their “over” and “under” strands as in the original diagram.



Lemma 4 (*Lickorish*)

Let D be a link diagram.

- If D is plus-adequate, D^r is also plus-adequate.
- If D is minus-adequate, D^r is also minus-adequate.

Lemma 4 (*Lickorish*)

Let D be a link diagram.

- If D is plus-adequate, D^r is also plus-adequate.
- If D is minus-adequate, D^r is also minus-adequate.

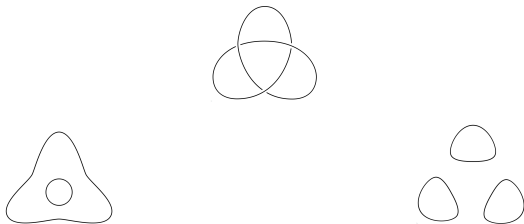
D adequate $\implies D^r$ adequate.

Lemma 4 (*Lickorish*)

Let D be a link diagram.

- If D is plus-adequate, D^r is also plus-adequate.
- If D is minus-adequate, D^r is also minus-adequate.

D adequate $\implies D^r$ adequate.

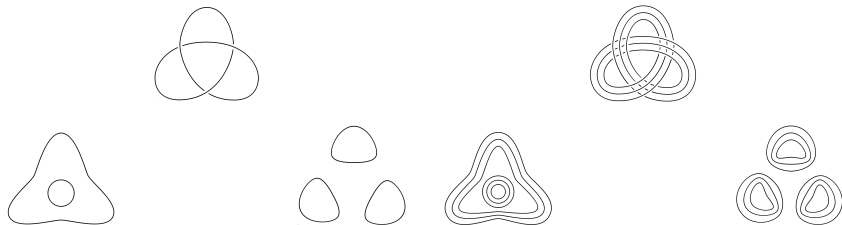


Lemma 4 (*Lickorish*)

Let D be a link diagram.

- If D is plus-adequate, D^r is also plus-adequate.
- If D is minus-adequate, D^r is also minus-adequate.

D adequate $\implies D^r$ adequate.



We construct the parallel versions of the given results.

Lemma 1-*bis* (*JP*)

Let D be a link diagram with n crossings.

- ① $M_{\langle Dr \rangle} \leq nr^2 + 2r|s_+ D| - 2$, with equality if D is plus-adequate,
- ② $m_{\langle Dr \rangle} \geq -nr^2 - 2r|s_- D| + 2$, with equality if D is minus-adequate.

We construct the parallel versions of the given results.

Lemma 1-*bis* (*JP*)

Let D be a link diagram with n crossings.

- ① $M_{\langle D^r \rangle} \leq nr^2 + 2r|s_+ D| - 2$, with equality if D is plus-adequate,
- ② $m_{\langle D^r \rangle} \geq -nr^2 - 2r|s_- D| + 2$, with equality if D is minus-adequate.

Corollary 1-*bis*

If D is adequate:

$$B(\langle D^r \rangle) = M_{\langle D^r \rangle} - m_{\langle D^r \rangle} = 2nr^2 + 2r|s_+ D| + 2r|s_- D| - 4.$$

Theorem 2 (*JP*)

Let L be an adequate oriented link.

$$cr(L^r) \geq \frac{r^2}{2} cr(L) + 2r - 1.$$

Theorem 2 (*JP*)

Let L be an adequate oriented link.

$$cr(L^r) \geq \frac{r^2}{2} cr(L) + 2r - 1.$$

Proof.

$$cr(L^r) \geq B(J(L^r)) = \frac{B(\langle D^r \rangle)}{4}$$

Theorem 2 (*JP*)

Let L be an adequate oriented link.

$$cr(L^r) \geq \frac{r^2}{2} cr(L) + 2r - 1.$$

Proof.

$$cr(L^r) \geq B(J(L^r)) = \frac{B(\langle D^r \rangle)}{4} \geq \frac{r^2}{2} n + 2r - 1$$

Theorem 2 (*JP*)

Let L be an adequate oriented link.

$$cr(L^r) \geq \frac{r^2}{2} cr(L) + 2r - 1.$$

Proof.

$$cr(L^r) \geq B(J(L^r)) = \frac{B(\langle D^r \rangle)}{4} \geq \frac{r^2}{2} n + 2r - 1 \geq \frac{r^2}{2} cr(L) + 2r - 1.$$

Theorem 2 (*JP*)

Let L be an adequate oriented link.

$$cr(L^r) \geq \frac{r^2}{2} cr(L) + 2r - 1.$$

Proof.

$$cr(L^r) \geq B(J(L^r)) = \frac{B(\langle D^r \rangle)}{4} \geq \frac{r^2}{2} n + 2r - 1 \geq \frac{r^2}{2} cr(L) + 2r - 1.$$

Corollary 2

If L is alternating:

$$cr(L^r) \geq \frac{r(r+1)}{2} cr(L) + r - 1.$$

Proof uses *Theorem 2* and *Lemma 2*.

Theorem 2 (*JP*)

Let L be an adequate oriented link.

$$cr(L^r) \geq \frac{r^2}{2} cr(L) + 2r - 1.$$

Proof.

$$cr(L^r) \geq B(J(L^r)) = \frac{B(\langle D^r \rangle)}{4} \geq \frac{r^2}{2} n + 2r - 1 \geq \frac{r^2}{2} cr(L) + 2r - 1.$$

Corollary 2

If L is alternating:

$$cr(L^r) \geq \frac{r(r+1)}{2} cr(L) + r - 1.$$

Proof uses *Theorem 2* and *Lemma 2*. ($|s_+ D| + |s_- D| \leq n + 2$)



Definition

D : diagram of $C \subset \mathbb{S}^3$.

$wr(D)$: writhe of D .

We call $(D; r)$ (the r -cable of D) to the r -parallel of D with $-wr(D)$ full twists.

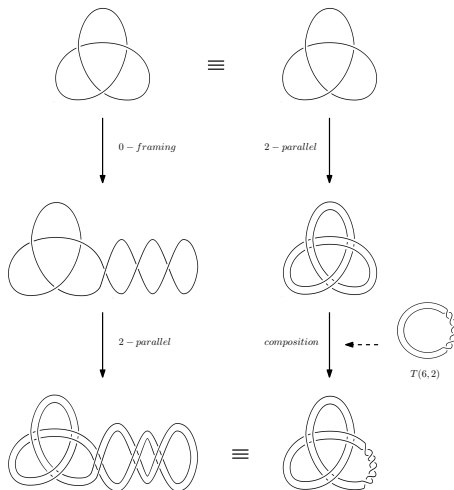


Definition

D : diagram of $C \subset \mathbb{S}^3$.

$wr(D)$: writhe of D .

We call $(D; r)$ (the r -cable of D) to the r -parallel of D with $-wr(D)$ full twists.



Lemma 5 (*JP*)

Let $K \subset \mathbb{S}^3$ be an oriented knot.

$$B(J(K; r)) \geq B(J(K^r)).$$

Lemma 5 (JP)

Let $K \subset \mathbb{S}^3$ be an oriented knot.

$$B(J(K; r)) \geq B(J(K^r)).$$

Proof.

Assume $\langle T(-wr(D)r, r) \rangle_{sT} = \sum_{i=0}^r \alpha_i z_{sT}^i$, $\alpha_r \neq 0$.

Lemma 5 (JP)

Let $K \subset \mathbb{S}^3$ be an oriented knot.

$$B(J(K; r)) \geq B(J(K^r)).$$

Proof.

Assume $\langle T(-wr(D)r, r) \rangle_{ST} = \sum_{i=0}^r \alpha_i z_{ST}^i$, $\alpha_r \neq 0$.

Then, $\langle D; r \rangle = \langle T(-wr(D)r, r) \rangle_{ST} \Big|_{z_{ST}^i = \langle D^i \rangle} = \sum_{i=0}^r \alpha_i \langle D^i \rangle$.

Lemma 5 (*JP*)

Let $K \subset \mathbb{S}^3$ be an oriented knot.

$$B(J(K; r)) \geq B(J(K^r)).$$

Proof.

Assume $\langle T(-wr(D)r, r) \rangle_{ST} = \sum_{i=0}^r \alpha_i z_{ST}^i$, $\alpha_r \neq 0$.

Then, $\langle D; r \rangle = \langle T(-wr(D)r, r) \rangle_{ST} \Big|_{z_{ST}^i = \langle D^i \rangle} = \sum_{i=0}^r \alpha_i \langle D^i \rangle$.

$$B(\langle D; r \rangle) \geq B(\alpha_r \langle D^r \rangle)$$

Lemma 5 (*JP*)

Let $K \subset \mathbb{S}^3$ be an oriented knot.

$$B(J(K; r)) \geq B(J(K^r)).$$

Proof.

Assume $\langle T(-wr(D)r, r) \rangle_{ST} = \sum_{i=0}^r \alpha_i z_{ST}^i$, $\alpha_r \neq 0$.

Then, $\langle D; r \rangle = \langle T(-wr(D)r, r) \rangle_{ST} \Big|_{z_{ST}^i = \langle D^i \rangle} = \sum_{i=0}^r \alpha_i \langle D^i \rangle$.

$$B(\langle D; r \rangle) \geq B(\alpha_r \langle D^r \rangle) \geq B(\alpha_r) + B(\langle D^r \rangle)$$

Lemma 5 (*JP*)

Let $K \subset \mathbb{S}^3$ be an oriented knot.

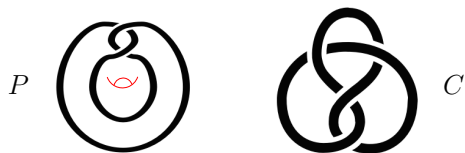
$$B(J(K; r)) \geq B(J(K^r)).$$

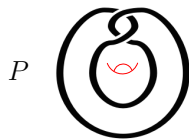
Proof.

Assume $\langle T(-wr(D)r, r) \rangle_{ST} = \sum_{i=0}^r \alpha_i z_{ST}^i$, $\alpha_r \neq 0$.

Then, $\langle D; r \rangle = \langle T(-wr(D)r, r) \rangle_{ST} \Big|_{z_{ST}^i = \langle D^i \rangle} = \sum_{i=0}^r \alpha_i \langle D^i \rangle$.

$$B(\langle D; r \rangle) \geq B(\alpha_r \langle D^r \rangle) \geq B(\alpha_r) + B(\langle D^r \rangle) = B(\langle D^r \rangle).$$





P  C 

Theorem 3

Let $P \subset ST$ such that $J_{ST}(P) = \sum_{k=0}^M \beta_k z_{ST}^k$ with $\beta_M \neq 0$, let $C \subset \mathbb{S}^3$, and let $Sat(P, C)$ be their satellite knot.

$$J(Sat(P, C)) = \sum_{k=0}^M \beta_k J(C; k).$$



Theorem 3

Let $P \subset ST$ such that $J_{ST}(P) = \sum_{k=0}^M \beta_k z_{ST}^k$ with $\beta_M \neq 0$, let $C \subset \mathbb{S}^3$, and let $Sat(P, C)$ be their satellite knot.

$$J(Sat(P, C)) = \sum_{k=0}^M \beta_k J(C; k).$$

Corollary 3

In particular,

$$B(J(Sat(P, C))) \geq B(\beta_M J(C; M)).$$



Theorem (*JP*)

Let $P \subset ST$ such that $J_{ST}(P) = \sum_{k=0}^M \beta_k z_{ST}^k$ with $\beta_M \neq 0$, and $C \subset \mathbb{S}^3$ adequate.

$$cr(Sat(P, C)) \geq B(\beta_M) + \frac{M^2}{2} cr(C) + 2M - 1$$



Theorem (*JP*)

Let $P \subset ST$ such that $J_{ST}(P) = \sum_{k=0}^M \beta_k z_{ST}^k$ with $\beta_M \neq 0$, and $C \subset \mathbb{S}^3$ adequate.

$$cr(Sat(P, C)) \geq B(\beta_M) + \frac{M^2}{2} cr(C) + 2M - 1$$

Proof.

$$cr(Sat(P, C)) \geq B(J(Sat(P, C)))$$

Theorem (JP)

Let $P \subset ST$ such that $J_{ST}(P) = \sum_{k=0}^M \beta_k z_{ST}^k$ with $\beta_M \neq 0$, and $C \subset \mathbb{S}^3$ adequate.

$$cr(Sat(P, C)) \geq B(\beta_M) + \frac{M^2}{2} cr(C) + 2M - 1$$

Proof.

$$cr(Sat(P, C)) \geq B(J(Sat(P, C))) \geq B(\beta_M J(C; M))$$

Theorem (JP)

Let $P \subset ST$ such that $J_{ST}(P) = \sum_{k=0}^M \beta_k z_{ST}^k$ with $\beta_M \neq 0$, and $C \subset \mathbb{S}^3$ adequate.

$$cr(Sat(P, C)) \geq B(\beta_M) + \frac{M^2}{2} cr(C) + 2M - 1$$

Proof.

$$cr(Sat(P, C)) \geq B(J(Sat(P, C))) \geq B(\beta_M J(C; M)) \geq B(\beta_M J(C^M))$$



Theorem (JP)

Let $P \subset ST$ such that $J_{ST}(P) = \sum_{k=0}^M \beta_k z_{ST}^k$ with $\beta_M \neq 0$, and $C \subset \mathbb{S}^3$ adequate.

$$cr(Sat(P, C)) \geq B(\beta_M) + \frac{M^2}{2} cr(C) + 2M - 1$$

Proof.

$$\begin{aligned} cr(Sat(P, C)) &\geq B(J(Sat(P, C))) \geq B(\beta_M J(C; M)) \geq B(\beta_M J(C^M)) \\ &\geq B(\beta_M) + \frac{M^2}{2} cr(C) + 2M - 1. \end{aligned}$$



Theorem (JP)

Let $P \subset ST$ such that $J_{ST}(P) = \sum_{k=0}^M \beta_k z_{ST}^k$ with $\beta_M \neq 0$, and $C \subset \mathbb{S}^3$ adequate.

$$cr(Sat(P, C)) \geq B(\beta_M) + \frac{M^2}{2} cr(C) + 2M - 1$$

Proof.

$$\begin{aligned} cr(Sat(P, C)) &\geq B(J(Sat(P, C))) \geq B(\beta_M J(C; M)) \geq B(\beta_M J(C^M)) \\ &\geq B(\beta_M) + \frac{M^2}{2} cr(C) + 2M - 1. \end{aligned}$$

↑
↑



Theorem (JP)

Let $P \subset ST$ such that $J_{ST}(P) = \sum_{k=0}^M \beta_k z_{ST}^k$ with $\beta_M \neq 0$, and $C \subset \mathbb{S}^3$ adequate.

$$cr(Sat(P, C)) \geq B(\beta_M) + \frac{M^2}{2} cr(C) + 2M - 1 \geq cr(C).$$

Proof.

$$\begin{aligned} cr(Sat(P, C)) &\geq B(J(Sat(P, C))) \geq B(\beta_M J(C; M)) \quad \geq B(\beta_M J(C^M)) \\ &\quad \geq B(\beta_M) + \frac{M^2}{2} cr(C) + 2M - 1. \end{aligned}$$

↑
↑



Problem 1.65 (*Kirby, 1995*)

Is the crossing number $cr(K)$ of a knot K additive with respect to connected sum, that is, is the equality $cr(K_1 \# K_2) = cr(K_1) + cr(K_2)$ true?

- *Murasugi* proved it is true for **adequate** knots. (Also *Kauffman* and *Thistlethwaite*)



Problem 1.65 (Kirby, 1995)

Is the crossing number $cr(K)$ of a knot K additive with respect to connected sum, that is, is the equality $cr(K_1 \# K_2) = cr(K_1) + cr(K_2)$ true?

- *Murasugi* proved it is true for **adequate** knots. (Also *Kauffman* and *Thistlethwaite*)

Problem 1.67 (Kirby, 1995)

Is the crossing number of a satellite knot bigger than that of its companion?

Remarks: *"Surely the answer is yes, so the problem indicates the difficulties of proving statements about the crossing number."*



Problem 1.65 (Kirby, 1995)

Is the crossing number $cr(K)$ of a knot K additive with respect to connected sum, that is, is the equality $cr(K_1 \# K_2) = cr(K_1) + cr(K_2)$ true?

- *Murasugi* proved it is true for **adequate** knots. (Also *Kauffman* and *Thistlethwaite*)

Problem 1.67 (Kirby, 1995)

Is the crossing number of a satellite knot bigger than that of its companion?

Remarks: “Surely the answer is yes, so the problem indicates the difficulties of proving statements about the crossing number.”

- It is true for **adequate** knots.

On adequacy and the crossing number of satellite knots



Adrián Jiménez Pascual
THE UNIVERSITY OF TOKYO

Tokyo Woman's Christian University

23th December, 2017