

**Finite type invariants and  $n$ -similarity  
of virtual knots via forbidden moves**

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Dec. 25, 2017

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- High degree of  $\text{GPV}_n$ -invariant and  $F_n$ -invariant

## Main Results

### Result 1 ([Ito–S. , 2017])

*For a given  $K$ , for any natural number  $n$  and  $\ell$ , there exists  $K_n^\ell$  such that  $K\#K_n^\ell$  is  $\text{GPV}_n$ - similar to  $K$ .*

### Result 2

*For a given  $K$ , for any natural number  $n$  and  $\ell$ , there exists  $K_n^\ell$  such that  $K\#K_n^\ell$  is  $F_n$ - similar to  $K$ .*

### Result 3

$\{v \mid v = v_i^{\text{GPV}}(i \leq 2n + 1)\} \subset \{v \mid v = v_i^F(i \leq n)\}$



### Result 4

*Non-trivial  $F_n$  invariants of every order exist.*

*Further,  $\{F_i(1 \leq i \leq n + 1)\}$  is strictly stronger than  $\{F_i(1 \leq i \leq n)\}$ .*

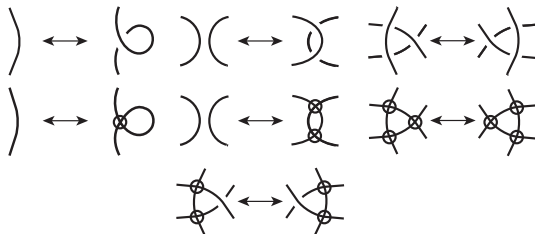
# Virtual knots and local moves

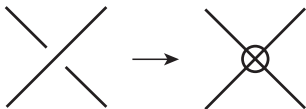
$D$  : a virtual knot diagram

def  $\Leftrightarrow D$  : a knot diagram with  and   
real virtual

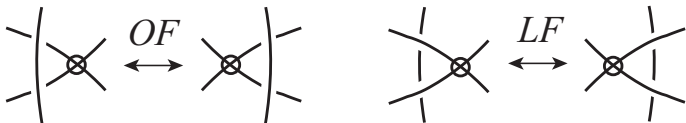
$K$  : a **virtual knot**

def  $\Leftrightarrow K$  : an eq. class of virtual knot diagrams under GR-moves





## Virtualization



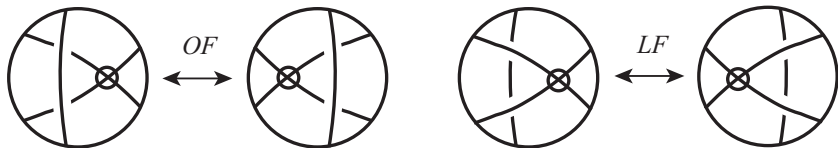
## Forbidden moves

### Fact 1

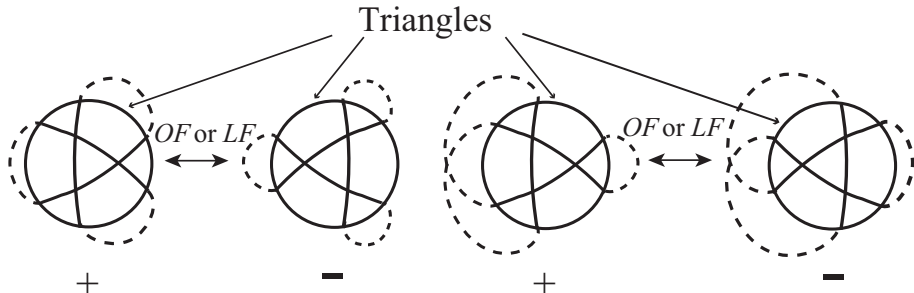
*Moves of virtualization are unknotting operations for virtual knots ([Goussarov, Polyak and Viro, '00]).*

### Fact 2

*Forbidden moves are unknotting operations for virtual knots ([Kanenobu, '01], [Nelson, '01]).*

Notation

## Triangles



### Definition 3 (GPV<sub>n</sub>-invariant and F<sub>n</sub>-invariant)

$\mathcal{VK}$  : the set of all virtual knots

$G$  : an abelian group

$v : \mathcal{VK} \rightarrow G$  is a finite-type inv. by virtualization, called **GPV<sub>n</sub>**

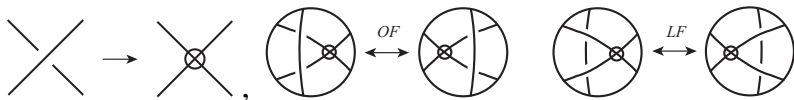
(Forbidden moves, called **F<sub>n</sub>-inv.**) of order  $\leq n$

if for any virtual knot diag.  $D$  and  $n + 1$  real crossings (disjoint triangles)  $d_1, d_2, \dots, d_{n+1}$ ,

$$\sum_{\delta} (-1)^{|\delta|} v(D_{\delta}) = 0,$$

where  $\delta = (\delta_1, \delta_2, \dots, \delta_{n+1})$  runs over  $(n + 1)$ -tuples of 0 or 1,

$|\delta| = \#1$ 's in  $\delta$ , and  $D_{\delta}$  obtained from  $D$  by applying a virtualization (a **forbidden move**) to  $d_i$  with  $\delta_i = 1$ .



**Definition 4** (*n*-trivial, *n*-similar by virtualization and forbidden moves, i.e, Virtual ver. for [Ohyama, '90, Taniyama, '92])

$K, K'$  : virtual knots

$D$  : a diag. of  $K$

$K$  is **GPV<sub>n</sub>-similar / GPV<sub>n</sub>-trivial** ( $F_n$ -similar /  $F_n$ -trivial) to  $K'$  by  $A_i$   
 ( $1 \leq i \leq n$ )

$\stackrel{\text{def.}}{\Leftrightarrow} \exists A_1, A_2, \dots, A_n$  : non-empty sets of real crossings (**triangles**) of  $D$   
 s. t.

- 1  $A_i \cap A_j = \emptyset$  ( $i \neq j, \forall i, j$ ),
- 2 a diagram of  $K'$ /trivial knot is obtained by applying virtualizations (**forbidden moves**) to crossings (**triangles**) in any non-empty subfamily of  $\{A_i \mid 1 \leq i \leq n\}$ .



## GPV<sub>n</sub>-trivial knots and F<sub>n</sub>-trivial virtual knots

### Theorem 5 ([Ito–S. , 2017])

*For any natural number  $n$  and  $\ell$ , there exists  $K_n^\ell$  such that  $K_n^\ell$  is a GPV<sub>n</sub>-trivial virtual knot.*

### Theorem 6 ([Ito–S. , 2017])

*For any natural number  $n$ , there exists  $K_n$  such that  $K_n$  is a F<sub>n</sub>-trivial virtual knot.*

Relation 1

$$\text{Crossing with circle} := \text{Crossing 1} - \text{Crossing 2}$$

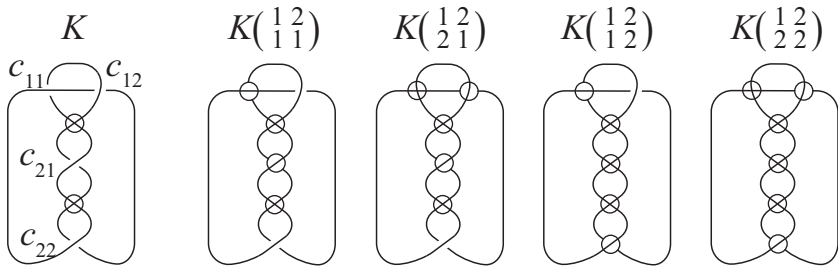
$$A_i := \{c_{i1}, c_{i2}, \dots, c_{i\alpha(i)}\}.$$

$K \begin{pmatrix} 1 & 2 & \dots & k \\ i_1 & i_2 & \dots & i_k \end{pmatrix}$  is a diagram with semi-virtual crossings obtained from replacing


$$\text{Crossing 1} \rightarrow \text{Crossing 1 with circle} \text{ at } \begin{cases} c_{11}, \dots, c_{1i_1-1} \\ c_{21}, \dots, c_{2i_2-1} \\ \vdots \\ c_{k1}, \dots, c_{ki_k-1} \end{cases} \quad \text{and} \quad \text{Crossing 2} \rightarrow \text{Crossing 2 with circle} \text{ at } \begin{cases} c_{1i_1} \\ c_{2i_2} \\ \vdots \\ c_{ki_k} \end{cases}$$

(cf. [Y. Ohyama, '90]).

## Example 7



Examples of  $K\left(\begin{smallmatrix} 1 & 2 \\ i_1 & i_2 \end{smallmatrix}\right)$ .

Note that 

### Lemma 8

If  $K$  is GPV<sub>n</sub>-similar to  $K'$ ,

$$v_m^{\text{GPV}}(K) = v_m^{\text{GPV}}(K') + \sum_{1 \leq i_j \leq \alpha(j), 1 \leq j \leq n} v_m^{\text{GPV}} \left( K \left( \begin{array}{cccc} 1 & 2 & \dots & n \\ i_1 & i_2 & \dots & i_n \end{array} \right) \right).$$

### Corollary 9

If  $K$  is GPV<sub>n</sub>-similar to  $K'$ , then

$$v_m^{\text{GPV}}(K) = v_m^{\text{GPV}}(K') \quad (m < n).$$

## Relation 2

$$\begin{array}{c}
 \text{Diagram 1} \\
 \text{A circle with a vertical line through its center and four lines radiating from the circle at 45-degree angles (top-left, top-right, bottom-left, bottom-right).}
 \end{array}
 \quad := \quad
 \begin{array}{c}
 \text{Diagram 2} \\
 \text{A vertical line with a crossing in the middle. The crossing is formed by two lines: one from the top-left to the bottom-right, and one from the top-right to the bottom-left. The vertical line passes through the crossing.} \\
 \text{positive}
 \end{array}
 \quad - \quad
 \begin{array}{c}
 \text{Diagram 3} \\
 \text{A vertical line with a crossing in the middle. The crossing is formed by two lines: one from the top-left to the bottom-right, and one from the top-right to the bottom-left. The vertical line passes through the crossing.} \\
 \text{negative}
 \end{array}
 ,$$

$$\begin{array}{c}
 \text{Diagram 4} \\
 \text{A circle with a vertical line through its center and four lines radiating from the circle at 45-degree angles (top-left, top-right, bottom-left, bottom-right).}
 \end{array}
 \quad := \quad
 \begin{array}{c}
 \text{Diagram 5} \\
 \text{A crossing in the middle. The crossing is formed by two lines: one from the top-left to the bottom-right, and one from the top-right to the bottom-left. Two vertical lines pass through the crossing, one on the left and one on the right.} \\
 \text{positive}
 \end{array}
 \quad - \quad
 \begin{array}{c}
 \text{Diagram 6} \\
 \text{A crossing in the middle. The crossing is formed by two lines: one from the top-left to the bottom-right, and one from the top-right to the bottom-left. Two vertical lines pass through the crossing, one on the left and one on the right.} \\
 \text{negative}
 \end{array}
 .$$

## Lemma 10

If  $K$  is  $F_n$ -similar to  $K'$ ,

$$v_m^F(K) = v_m^F(K') + \sum_{\substack{1 \leq i_j \leq \alpha(j) \\ 1 \leq j \leq n}} \varepsilon_{1i_1} \varepsilon_{2i_2} \cdots \varepsilon_{ni_n} v_m^F \left( K \left( \begin{array}{cccc} 1 & 2 & \cdots & n \\ i_1 & i_2 & \cdots & i_n \end{array} \right) \right).$$

## Corollary 11

If  $K$  is  $F_n$ -similar to  $K'$ , then

$$v_m^F(K) = v_m^F(K') \quad (m < n).$$

## Main Results

### Result 1 ([Ito–S. , 2017])

*For a given  $K$ , for any natural number  $n$  and  $\ell$ , there exists  $K_n^\ell$  such that  $K\#K_n^\ell$  is  $\text{GPV}_n$ - similar to  $K$ .*

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*For a given  $K$ , for any natural number  $n$  and  $\ell$ , there exists  $K_n^\ell$  such that  $K\#K_n^\ell$  is  $F_n$ - similar to  $K$ .*

### Result 3

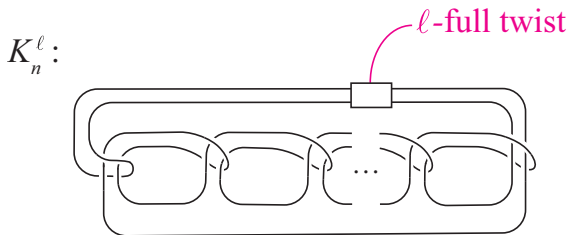
$$\{v \mid v = v_i^{\text{GPV}}(i \leq 2n + 1)\} \subset \{v \mid v = v_i^F(i \leq n)\}$$

### Result 4

*Non-trivial  $F_n$  invariants of every order exist.*

*Further,  $\{F_i(1 \leq i \leq n + 1)\}$  is strictly stronger than  $\{F_i(1 \leq i \leq n)\}$ .*

# GPV<sub>n</sub>-similarity and GPV<sub>n</sub>-invariants



[Kanenobu, '86, Math. Ann.],

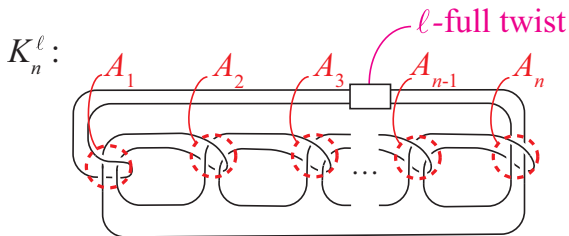
[Ohyama-Ogushi, '90, Tokyo J. Math.]

## Corollary 12

Let  $m, n$  be a given pair of positive integers satisfying  $m \leq n - 1$  and fixed. For any virtual knot  $K$ , any positive integer  $\ell$ , there exist infinitely many classical knots  $K_n^\ell$  such that  $v_m^{\text{GPV}}(K \# K_n^\ell) = v_m^{\text{GPV}}(K)$ .



# GPV<sub>n</sub>-similarity and GPV<sub>n</sub>-invariants



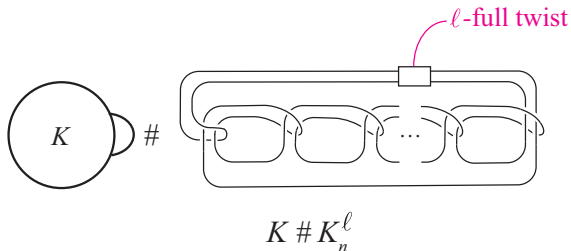
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# GPV<sub>n</sub>-similarity and GPV<sub>n</sub>-invariants

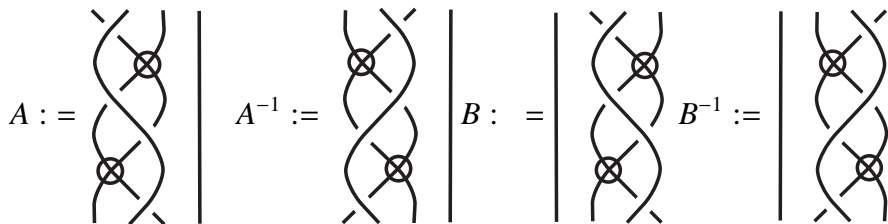


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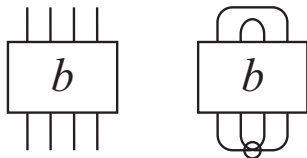
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$F_n$ -similarity and  $F_n$ -invariants

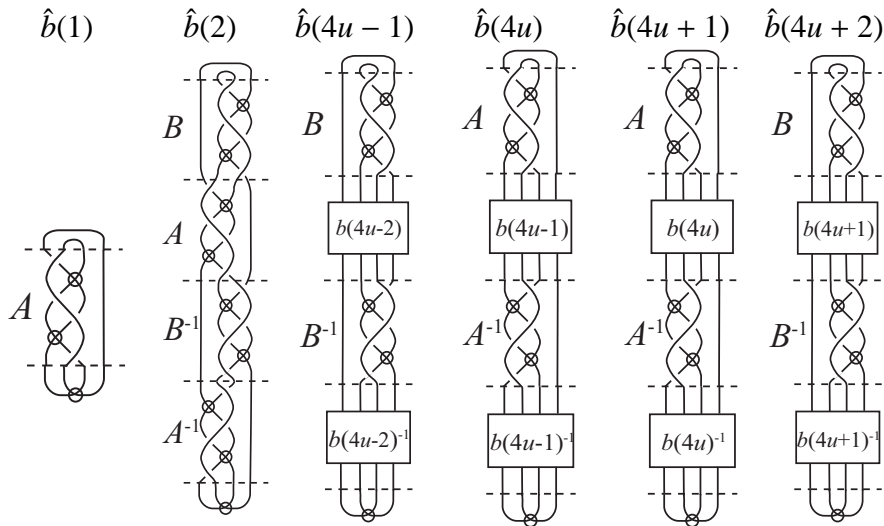
(cf. [S. Kamada, '00])

A braid  $b$  and its closure  $\hat{b}$

$$b(1) = A, b(2) = [B, b(1)],$$

$$b(4u - 1) = [B, b(4u - 2)], b(4u) = [A, b(4u - 1)],$$

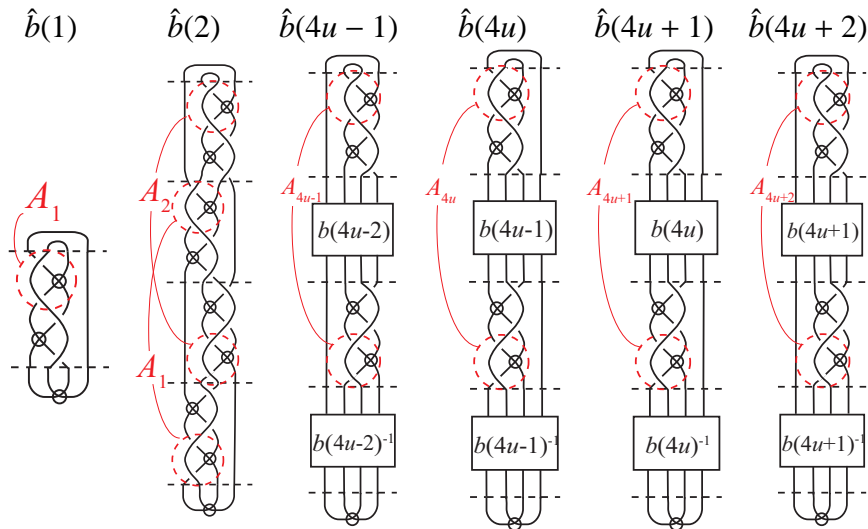
$$b(4u + 1) = [A, b(4u)], b(4u + 2) = [B, b(4u + 1)], (u \geq 1).$$

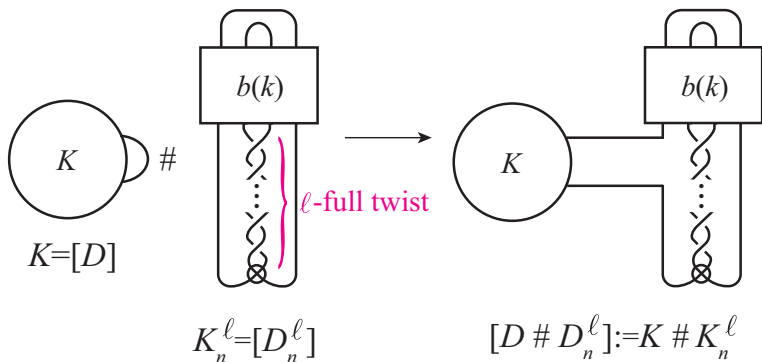


$$b(1) = A, b(2) = [B, b(1)],$$

$$b(4u - 1) = [B, b(4u - 2)], b(4u) = [A, b(4u - 1)],$$

$$b(4u + 1) = [A, b(4u)], b(4u + 2) = [B, b(4u + 1)], (u \geq 1).$$



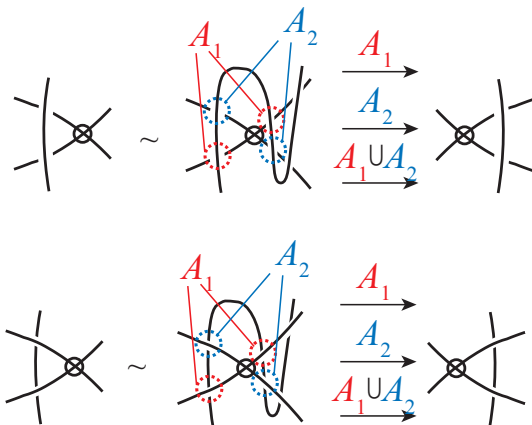


### Corollary 13

Let  $m, n$  be a given pair of positive integers satisfying  $m \leq n - 1$  and fixed. For any virtual knot  $K$ , there exists  $\ell_0$ , and for any positive integer  $\ell$  ( $\geq \ell_0$ ), there exist infinitely many virtual knots  $K_n^\ell$  such that  $v_m^F(K \# K_n^\ell) = v_m^F(K)$ .

# High degree of $GPV_n$ -invariant and $F_n$ -invariant

## Lemma 14



By Lemma 14,

$K$  is  $F_n$ -similar to  $K' \Rightarrow K$  is  $GPV_{2n}$ -similar to  $K'$ .

### Proposition 15

$$\{v \mid v = v_i^{\text{GPV}}(i \leq 2n + 1)\} \subset \{v \mid v = v_i^F(i \leq n)\}$$

Let  $\mathcal{P}$  : Polayak algebra, i.e.,  $GPV_n$ -inv.  $\in \mathcal{P}_n^*$ .

### Theorem 16 ([Goussarov, Polyak, Viro, '00])

*Let  $D$  be any diagram of a virtual knot  $K$ . The formula  $K \mapsto I(D) \in \mathcal{P}$  defines a complete invariant of virtual knots.*



By using Theorem 16, we have Result .

### Result 3

*All F<sub>n</sub> invariants define a complete invariant of virtual knots.*

### Theorem 17 ([Chmutov, Khoury, Rossi, '09])

*For  $n \geq 1$ , the coefficient  $c_{2n}$  of  $z^{2n}$  in the Conway polynomial of a knot  $K$  with the Gauss diagram  $G$  is equal to*

$$c_{2n} = \langle \mathfrak{C}_{2n}, G \rangle.$$

By the definitions of GPV-inv., Vassiliev inv. and Theorem 17, we have Result .

### Result 4

*Non-trivial F<sub>n</sub> invariants of every order exist.*

*Further,  $\{F_i(1 \leq i \leq n + 1)\}$  is strictly stronger than  $\{F_i(1 \leq i \leq n)\}$ .*

*Thank you for your attention.*